



<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)		Application No.	10/019,382
		Filing Date	March 20, 2003
		First Named Inventor	Qingwei Zhao
		Art Unit	2626
		Examiner Name	Not Yet Assigned
Total Number of Pages in This Submission	103	Attorney Docket Number	42P9267

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> PTO/SB/08 <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Basic Filing Fee <input type="checkbox"/> Declaration/POA <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Copy of Notice Under 37 CFR 1.251; Response to Notice Under 37CFR 1.251; Copies of Application Documents submitted to the USPTO; Copy of the Notice of Missing Requirements; Copy of the Assignment and Recordation Cover Sheet and related documents; Copy of the Response to Notice of Missing Requirements and related documents; Copy of the Filing Receipt; Copy of the Notice of Acceptance; Copy of the Notice of Recordation of Assignment Document; Copy of the Information Disclosure Statement; Copy of the Status Request and related documents; Return Receipt Postcard.
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Gregory D. Caldwell, Reg. No. 39926 BLAKELY, SOLOKOFF, TAYLOR & ZAFMAN LLP
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CERTIFICATE OF MAILING/TRANSMISSION	
I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop Reconstruction, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.	
Typed or printed name	Natasha French
Signature	
Date	9/13/2010

Serial/Patent No.: Not Yet Assigned Filing/Issue Date: Herewith  
 Client: Intel Corporation

Title: Method and System for Expanding a Word Graph to a Phone Graph  
Based on a Cross-Word Acoustical Model to Improve Continuous...

BSTZ File No.: 42390.P9267 Atty/Secty Initials: JPW/SKW/gzk

Date Mailed: 27 December 2001 Docket Due Date: \_\_\_\_\_

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|---|--|--|
| <input type="checkbox"/> Amendment/Response (____ pgs.)                                       | <input checked="" type="checkbox"/> Express Mail No.: <u>EL867649488US</u>           | <input checked="" type="checkbox"/> Check No. <u>47310</u> |
| <input type="checkbox"/> Appeal Brief (____ pgs.) (in triplicate)                             | <input type="checkbox"/> _____ Month(s) Extension of Time                            | Amt: \$ <u>1,640.00</u>                                    |
| <input type="checkbox"/> Application - Utility (____ pgs., with cover and abstract)           | <input type="checkbox"/> Information Disclosure Statement & PTO 140 (____ pgs.)      | <input type="checkbox"/> Check No. _____                   |
| <input type="checkbox"/> Application - Rule 1.53(b) Continuation (____ pgs.)                  | <input type="checkbox"/> Issue Fee Transmittal                                       | Amt: _____   |
| <input type="checkbox"/> Application - Rule 1.53(b) Divisional (____ pgs.)                    | <input type="checkbox"/> Notice of Appeal  |  |
| <input type="checkbox"/> Application - Rule 1.53(b) CIP (____ pgs.)                           | <input type="checkbox"/> Petition for Extension of Time                              |  |
| <input type="checkbox"/> Application - Rule 1.53(d) CPA Transmittal (____ pgs.)               | <input type="checkbox"/> Petition for _____  | <b>10/019382</b>   |
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| <input type="checkbox"/> Application - Provisional (____ pgs.)                                | <input type="checkbox"/> Preliminary Amendment (____ pgs.)                           |  |
| <input type="checkbox"/> Assignment and Cover Sheet   | <input type="checkbox"/> Reply Brief (____ pgs.)                                     |  |
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| <input type="checkbox"/> Declaration & POA (____ pgs.)  | <input type="checkbox"/> Small Entity Declaration for Indep. Inventor/Small Business |  |
| <input type="checkbox"/> Disclosure Docs & Orig & Copy of Inventions Signed Later (____ pgs.) | <input checked="" type="checkbox"/> Transmittal Letter, in duplicate                 |  |
| <input type="checkbox"/> Drawings: _____ # of sheets includes _____ figures                   | <input type="checkbox"/> Fee Transmittal, in duplicate                               |  |

Other: Filing under 35 USC 371 based on PCT/CN00/00292;  
Copy of International Application as filed

**JC07 Rec'd PCT/PTO 27 DEC 2001**

Due date 3/27/2002 Client Name Intel Corporation

Docket Initial MA 42390.P9267

Dock. Sup. Initial MA

Atty/Initial JPW SKW

Pat/Ser/Reg 019,382

Description

19

3 month deadline to file prior art disclosure and check related cases  
 (based on filed US application).

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1/27/2002

Natalie Adair

Due date 6/27/2003 Client Name Intel Corporation

Docket Initial MA 42390.P9267

Dock. Sup. Initial MA

Atty/Initial EHT JPW SKW

Pat/Ser/Reg 019,382

23

Description

Watch for first office action

Natalie Adair

Serial/Patent No.: Not Yet Assigned Filing/Issue Date: Herewith  
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Based on a Cross-Word Acoustical Model to Improve Continuous...  
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<input type="checkbox"/> Application - Rule 1.53(b) CIP (____ pgs.)	<input type="checkbox"/> Petition for Extension of Time
<input type="checkbox"/> Application - Rule 1.53(d) CPA Transmittal (____ pgs.)	<input type="checkbox"/> Petition for _____
<input type="checkbox"/> Application - Design (____ pgs.)	<input type="checkbox"/> Postcard
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<input type="checkbox"/> Drawings: _____ # of sheets includes _____ figures	<input type="checkbox"/> Fee Transmittal, in duplicate

  
☒ Other: Filing under 35 USC 371 based on PCT/CN00/00292;  
Copy of International Application as filed

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

42390.P9267

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

INTERNATIONAL APPLICATION NO.  
PCT/CN00/00292INTERNATIONAL FILING DATE  
28 September 2000 (28.09.00)

PRIORITY DATE CLAIMED

TITLE OF INVENTION METHOD AND SYSTEM FOR EXPANDING A WORD GRAPH TO A PHONE  
GRAPH BASED ON A CROSS-WORD ACOUSTICAL MODEL TO IMPROVE CONTINUOUS SPEECH RECOGNITION

APPLICANT(S) FOR DO/EO/US

ZHAO, Qingwei; YAN, Yonghong &amp; LIN, Zhiwei

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☐ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☐ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

## Items 11 to 20 below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A **FIRST** preliminary amendment.
14. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
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21. ☒ The following fees are submitted:**BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):**

Neither international preliminary examination fee (37 CFR 1.482)  
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO  
and International Search Report not prepared by the EPO or JPO ..... \$1,040.00

International preliminary examination fee (37 CFR 1.482) not paid to  
USPTO but International Search Report prepared by the EPO or JPO ..... 890.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO  
but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$740.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO  
but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$710.00

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and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100.00

**ENTER APPROPRIATE BASIC FEE AMOUNT =****CALCULATIONS PTO USE ONLY**

\$ 1,040.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30  
months from the earliest claimed priority date (37 CFR 1.492(e)).

\$ 0.00

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$
Total claims	30 - 20 =	10	\$18.00	\$ 180.00
Independent claims	8 - 3 =	5	\$84.00	\$ 420.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			\$280.00	\$ 0.00
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$ 1,640.00
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.			+	\$ 0.00
<b>SUBTOTAL =</b>				\$ 1,640.00
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$ 0.00
<b>TOTAL NATIONAL FEE =</b>				\$ 1,640.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$ 0.00
<b>TOTAL FEES ENCLOSED =</b>				\$ 1,640.00
			Amount to be refunded:	\$
			charged:	\$

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A duplicate copy of this sheet is enclosed.c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 02-2666. A duplicate copy of this sheet is enclosed.d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Mr. John P. Ward  
BLAKELY SOKOLOFF TAYLOR & ZAFMANN LLP  
12400 Wilshire Boulevard, 7th Floor  
Los Angeles, CA 90025-1030  
United States of America

SIGNATURE

John P. Ward

NAME

40,216

REGISTRATION NUMBER

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ZHAO, Qingwei; YAN, Yonghong &amp; LIN, Zhiwei

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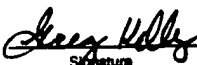
1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
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Amount to be  
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12400 Wilshire Boulevard, 7th Floor  
Los Angeles, CA 90025-1030  
United States of America

SIGNATURE

John P. Ward

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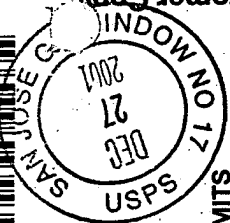
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47310

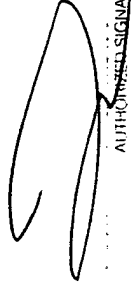
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December 27, 2001

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42390.P9267 - Intel Corporation  
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Patent Application filing fee  
Filing under 35 USC 371 based on PCT/CN00/00292  
Title: METHOD AND SYSTEM FOR EXPANDING A WORD GRAPH TO A PHO  
NE GRAPH BASED ON A CROSS-WORD ACOUSTICAL MODEL TO IMPROVE C  
ONTINUOUS SPEECH RECOGNITION  
Inventors: Zhao, et al.  
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